

METHOD OF PROCESSING A SIGNAL BY A RADIO RECEIVER AND  
RADIO RECEIVER FOR THE IMPLEMENTATION OF THE METHOD

-- BACKGROUND OF THE INVENTION --.

5 The present invention relates to the processing of the signal in spread spectrum digital radiocommunication receivers.

The invention finds application in the field of code-  
10 division multiple access (CDMA) radiocommunications, such as UMTS ("Universal Mobile Telecommunication System").

In a CDMA system, the symbols transmitted, binary ( $\pm 1$ )  
15 or quaternary ( $\pm 1 \pm j$ ), are multiplied by spreading codes composed of samples, called "chips", whose rate (3.84 Mchip/s in the case of UMTS) is greater than that of the symbols transmitted. Orthogonal or quasi-orthogonal spreading codes are allotted to various  
20 logical channels sharing the same carrier frequency, so as to allow each receiver to detect the sequence of symbols which is destined therefor, by multiplying the signal received by the conjugate of the corresponding spreading code possibly shifted to compensate for the  
25 propagation times.

An advantage of the CDMA system is that it makes it possible to utilize radio propagation multipaths, by using what is referred to as a rake receiver.

30 The rake receiver performs coherent demodulation based on an approximation of the impulse response of the radio propagation channel by a series of spikes, each spike appearing with a delay corresponding to the  
35 propagation time along a particular path and having a complex amplitude corresponding to the attenuation and to the phase shift of the signal along this path (instantaneous realization of fading). By analyzing several reception paths, that is to say sampling,

several times, the output from a filter matched to the spreading code of the channel, with delays corresponding respectively to these paths, the rake receiver obtains multiple estimates of the symbols transmitted, which are combined to obtain a diversity gain. Combining can be performed in particular according to the so-called MRC (Maximum Ratio Combining) method, which weights the various estimates as a function of the complex amplitudes observed for the various paths.

In order to allow this coherent demodulation, a pilot channel can be provided for the estimation of the impulse response in the form of a succession of spikes. The impulse response is estimated by means of a filter matched to a pilot spreading code with which the sender modulates a sequence of known symbols, for example symbols set to 1. The positions of the maxima of the output from this matched filter give the delays used in the fingers of the rake receiver, and the associated complex amplitudes correspond to the values of these maxima.

The rake receiver comprises a set of fingers making it possible to process one and the same sequence of information symbols that is received along different propagation paths from the sender. These paths are usually defined as delays with respect to a reference time.

In a representation of the CDMA signal received, which representation is utilized in a conventional rake receiver, this signal  $x(t)$  may be written:

$$x(t) = \sum_k \sum_i \sum_n A_i^k(t) \cdot b_{E[n/Q_k]}^k \cdot c_n^k p(t - nT - \tau_i^k) + \varepsilon(t) \quad (1)$$

where  $E[.]$  designates the integer part operator,  $k$  is an index of the CDMA channels superimposed on the

relevant carrier,  $i$  is an index of the propagation paths existing between the sender or senders and the receiver,  $n$  is an index of the chips,  $p(.)$  designates the modulation shaping function ("pulse"), the vector  
5  $(b_{E[n/Q_k]}^k)$  is the symbol string shaped by the sender on channel  $k$ ,  $Q_k$  is the spreading factor of the  $k$ -th user, the vector  $(c_n^k)$  is the spreading code of channel  $k$ ,  $\tau_i^k$  is the propagation delay for path  $i$  and sender  $k$ ,  $A_i^k(t)$  is the complex amplitude along path  $i$  for user  $k$  at the  
10 instant  $t$ , and  $\epsilon(t)$  is additive noise.

The number of fingers of a rake receiver is generally limited. By way of example, a base station or a mobile terminal receiver using CDMA access technology  
15 typically possesses from 4 to 8 fingers per channel to be processed. This translates into a limitation in the number  $F$  of fingers of a rake receiver per user, which is frequently less than the number  $N$  of paths observed on the propagation profile existing between the sender  
20 or senders and the receiver for this user. The receiver is therefore required to select  $F$  paths from among the  $N$  paths observed, it being possible to do this according to various strategies. These selection strategies differ from one another in that each of them  
25 represents a compromise between complexity, and consequently consumption of the hardware and software resources in the receiver, and performance in terms of mean bit error probability after combination.

30 An optimal use of the resources of the rake receiver seeks the best selection of the paths available having regard to the number of fingers available to process them. An object of the present invention is to propose a mechanism which achieves a good compromise between  
35 the performance available according to various strategies for selecting paths and the computational cost engendered by the operations for processing the signal in the receiver.

-- SUMMARY OF THE INVENTION --.

The invention thus proposes a method of signal processing for a spread spectrum digital radiocommunication receiver, comprising the following  
5 steps:

- determine a propagation profile of a propagation channel between sending means and the receiver, including at least one propagation path associated  
10 with a reception energy;
- measure data relating to an energy distribution in the propagation profile;
- 15 - estimate information symbols carried by a signal received originating from means of sending over the propagation channel, by applying to said signal a processing taking into account a predetermined maximum number of propagation paths,  
20 said propagation paths taken into account being chosen according to a criterion selected from several criteria,

and in which said criterion is selected as a function  
25 of the measured data relating to the energy distribution in the propagation profile.

Each criterion for choosing the paths to be taken into account corresponds to a selection strategy, and can be  
30 selected for example from a set comprising a first criterion according to which the predetermined maximum number of propagation paths of greatest energy on average are taken into account, and a second criterion according to which the predetermined maximum number of  
35 propagation paths of largest instantaneous energy are taken into account.

Preferably, the measured data relating to the energy distribution in the propagation profile comprise a

measurement of energy dispersion for the propagation paths detected, which measurement may be performed on the basis of an estimation of a mean energy gap between the propagation paths detected.

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The selection of the criterion as a function of the measured data relating to the energy distribution in the propagation profile can, for example, comprise a comparison of the measurement of energy dispersion for  
10 the propagation paths detected with a threshold.

In the preferred embodiment of the invention, this threshold is obtained in relation to a prior choice of a gap in performance between two strategies for  
15 choosing propagation paths taken into account in said processing according to respective criteria, on the basis of correspondences between an energy dispersion in a propagation profile and a gap in performance between two strategies for choosing propagation paths  
20 according to respective criteria, the correspondences being pre-established under various radio conditions.

The propagation paths can be obtained conventionally by the analysis of an impulse response of the relevant  
25 propagation channel. This response then makes it possible to obtain the delays and the amplitudes relating to each of these paths.

The invention moreover proposes a spread spectrum  
30 digital radiocommunication receiver comprising means for determining a propagation profile of a propagation channel between sending means and the receiver, including at least one propagation path associated with a reception energy, means for measuring data relating  
35 to an energy distribution in the propagation profile, means for choosing a predetermined maximum number of propagation paths according to a criterion for choosing, means for estimating information symbols carried by a signal received originating from means of

sending over the propagation channel, by applying to said signal a processing taking into account the paths chosen. The receiver furthermore comprises means for selecting a criterion for choosing from several  
5 criteria, designed to select a criterion for choosing as a function of the data measured relating to the energy distribution in the propagation profile.

The means for determining a propagation profile of a  
10 propagation channel between sending means and the receiver can comprise means for applying to the signal received a processing identifying, on the basis of an analysis of an impulse response of the propagation channel between the sending means and the receiver, a  
15 number of propagation paths detected and respective reception energies for these paths.

The receiver according to the invention can moreover be designed in such a way that the means for measuring  
20 data relating to an energy distribution in the propagation profile comprise means for measuring an energy dispersion for the propagation paths detected.

In this particular case, the means for selecting a  
25 criterion for choosing may be designed to perform a comparison of this measurement of energy dispersion with a threshold.

In accordance with the foregoing, in the preferred  
30 embodiment of the invention, the means for selecting a criterion for choosing from several criteria are designed to obtain said threshold in relation to a prior choice of a gap in performance between two strategies for choosing the propagation paths.

35 The receiver may comprise means for storing correspondences between an energy dispersion in a propagation profile and a gap in performance between two strategies for choosing propagation paths according

to respective criteria, said correspondences being pre-established under various radio conditions. The means for selecting a criterion for choosing may then be designed to obtain the threshold on the basis of the  
5 correspondences stored in these memory means.

The invention finally proposes a computer programme, loadable into a memory associated with a processor, and comprising instructions for the implementation of a  
10 method as defined hereinabove during the execution of said programme by the processor, as well as a computer medium on which said programme is recorded.

-- BRIEF DESCRIPTION OF THE DRAWINGS --.

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- Figure 1 is a schematic diagram of a receiver according to the invention and

- Figure 2 is a graph showing examples of charts stored in the memory module of Figure 1.

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-- DESCRIPTION OF PREFERRED EMBODIMENTS --.

Figure 1 diagrammatically shows a radio receiver 1 able to implement the present invention. This receiver may  
25 be that of a base station or of a mobile terminal supporting spread spectrum CDMA radiocommunication technology such as UMTS technology.

The receiver 1 comprises means of reception, in particular one or more antennas 2 for receiving a  
30 signal transmitted by a sender or else a plurality of senders in the case where the receiver is embedded in a mobile terminal in a situation of macrodiversity or "soft handover", that is to say of simultaneous  
35 listening to redundant channels originating from different base stations. Considered hereinbelow is the nonrestrictive case of a signal transmitted by a single sender and received on a single antenna 2.

A radio stage 3 is conventionally located at the input of the receiver 1, in such a way as to perform a first processing of the signal received. For example, this processing can consist in amplifying the analogue  
5 signal received, in converting it into a digital signal and possibly in filtering it.

In the case of the UMTS system, phase-shift keying (PSK) is used. The signal comprises two distinct  
10 components, a real component and an imaginary component. The processing implemented by the radio stage 3 reconstructs a digital signal  $y(t)$ , for example at a rate of one complex sample per chip.

15 As described above, the impulse response of the propagation channel can be estimated by means of a filter 11 matched to a pilot spreading code  $c^p$  with which the sender modulates a known sequence of symbols. An analysis of the output of this matched filter is  
20 performed by a probing module 4. In a conventional manner, the latter does statistical calculations on the output of the matched filter 11 -so as to determine delays  $(\tau_i)_{0 \leq i \leq N-1}$  associated with the  $N$  paths or echoes observed, as well as the average reception energies  
25  $(E_i)_{0 \leq i \leq N-1}$  associated with these paths. It also estimates a level  $N_0$  of the noise on the channel. Regular evaluation of these parameters is performed so as to have up-to-date knowledge of the propagation profile over the relevant channel.

30 The paths of greatest energy that are detected by the probing module 4 correspond to environment-dependent main paths. The path of greatest energy will correspond for example to a direct path between the sender and the  
35 receiver if they are in direct line of sight of one another. The other main echoes will schematically be those that give rise to the smallest number of reflections and diffractions between the sender and the receiver.



The energy  $E_i$  associated with a path is the mathematical expectation of the modulus squared of the instantaneous amplitude  $A_i(t)$  of reception on the  
5 corresponding propagation path.

The receiver has a limited number of "fingers" and will be able to allocate only a certain number  $F$  of them to a given user. As indicated previously, the receiver is  
10 therefore required to make a selection on the  $N$  paths observed on the profile of the user, according to various strategies. It is for example possible to choose to select the  $F$  paths of greatest energy on average, or else to select the  $F$  paths of largest  
15 instantaneous energy, according to the strategy presented by N. Kong et al. ("A Selection Combining Scheme for Rake Receivers", 1995 Fourth IEEE International Conference on Universal Personal Communications, 6-10 November 1995 Page(s): 426-430).

20 It is moreover known to evaluate the performance of these strategies by calculating the mean bit error probability after combination. Letting  $\mathbf{x} = (x_0, \dots, x_{N-1})$  denote the vector of the samples at the output of the  
25 matched filter, the selection operation can be written according to the relation:  $(i_0, \dots, i_{F-1}) = \text{Sel}(0, \dots, N-1)$  where the selection operator is denoted  $\text{Sel}$  and  $(i_0, \dots, i_{F-1})$  are the indexes of the paths selected.

30 We determine the mean bit error probability  $P$  after MRC combination of the  $F$  paths selected according to the relation:

$$P(G) = \int_0^\infty Q(\sqrt{2Gs}) p(s) ds \quad (2)$$

$$\text{where } s = \sum_{k=0}^{F-1} |x_{i_k}|^2 \text{ and } Q(x) = \frac{1}{\sqrt{2\pi}} \int_x^\infty e^{-t^2/2} dt \text{ for } x \geq 0 \quad (3)$$

An example of this determination of mean bit error probability is provided hereinbelow under the assumption that we choose to select the  $F$  paths of greatest energy on average.

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In this particular case, we select the  $F$  paths having the highest values  $E_i$ . The mean bit error probability after MRC combination may then be written (see John G. Proakis, "Digital Communications", third edition, 1995, chapter 14):

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$$P_1 = \frac{1}{2} \sum_{i=0}^{F-1} \prod_{\substack{j=0 \\ j \neq i}}^{F-1} \frac{\bar{\gamma}_i}{\bar{\gamma}_i - \bar{\gamma}_j} \left( 1 - \sqrt{\frac{\bar{\gamma}_i}{1 + \bar{\gamma}_i}} \right) \quad (4)$$

where  $(\bar{\gamma}_i)_{0 \leq i \leq F-1}$  designates the mean signal-to-noise ratio measured over the useful signal portions received on an antenna in the presence of fast fading possessing a Rayleigh probability density.

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For the requirements of the modelling, a profile model in which the energy is distributed exponentially over the peaks of the profile corresponding to the paths will be adopted in what follows, so that we will put  $E_i = \delta^i$  where the dispersion factor  $\delta$  represents the mean gap between two paths ordered in the order of decreasing energies.

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25 We have:  $\bar{\gamma}_i = \frac{E_b}{N_o} \times E_i$  ( $G$  will designate, throughout what

follows, the normalized signal-to-noise ratio  $\frac{E_b}{N_o}$ ) and,

by considering a profile model having an exponential distribution of energies over the paths:

$$P_1(G, F, \delta) = \frac{1}{2} \sum_{i=0}^{F-1} \prod_{\substack{j=0 \\ j \neq i}}^{F-1} \frac{1}{1 - \delta^{j-i}} \left( 1 - \frac{1}{\sqrt{1 + \frac{1}{\delta^i G}}} \right) \quad (5)$$

A step of normalizing the signal-to-noise ratios  $\bar{\gamma}_i$  by taking account of the fact that F paths are selected from among N paths available in the profile leads to the expression:

$$P_1(G, N, F, \delta) = \frac{1}{2} \sum_{i=0}^{F-1} \frac{1}{\prod_{j \neq i}^{F-1} (1 - \delta^{i-j})} \left( 1 - \frac{1}{\sqrt{1 + \frac{1}{\delta^i G} \frac{1 - \delta^N}{1 - \delta^F}}} \right) \quad (6)$$

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In a preferred embodiment of the invention, the performance of various selection strategies expressed in terms of mean bit error probability is associated with parameters relating to the energy distribution in the propagation profile (in the example presented the parameters G, N, F,  $\delta$ ). We are then in a position to compare the performance of these strategies.

For each relation  $P_i(G, N, F, \delta)$  corresponding to a strategy for selecting the paths, a "manual" inversion can be performed, on the basis of calculated digital values, to obtain a relation  $G_i(P, N, F, \delta)$ . In the example illustrated, first and second relations  $G_1(P, N, F, \delta)$  and  $G_2(P, N, F, \delta)$  are thus obtained for the first and second strategies, respectively.

The numerical values of the gain afforded by the second strategy with respect to the first in the presence of N propagation paths in the profile, from among which  $F \leq N$  are selected, for a profile on which a dispersion factor  $\delta$  is plotted, and that the mean bit error probability after combination that one wishes to attain equals P, are obtained by taking:  
 $G_{2,1}(P, N, F, \delta) = G_2(P, N, F, \delta) - G_1(P, N, F, \delta)$ . It is thus possible to express a gain, or gap in performance between the two strategies as a function of the dispersion factor through the relation  
 $G_{2,1} = G_2^{N, F, P}(\delta) - G_1^{N, F, P}(\delta)$ . We thus obtain a set of correspondences between an energy dispersion and a gap

in performance between two strategies (or criteria) for choosing the F propagation paths, as a function of the parameters P, N which characterize various radio conditions.

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As indicated previously, another exemplary selection strategy is described by Kong et al., and leads to the following expression for the probability density p(s) in expression (2):

$$\begin{aligned}
 p_{G,N,F,\delta}(s) = & \sum_{i_0=0}^{N-1} \sum_{\substack{i_1=0 \\ i_1 \neq i_0}}^{N-1} \dots \sum_{\substack{i_{F-1}=0 \\ i_{F-1} \neq i_{F-2}, \dots, i_{F-1} \neq i_0}}^{N-1} \dots \\
 & G-2u_1 \quad G-2u_1 \dots (F-1)u_{F-2} \\
 & \int_{u_1=0}^{G/2} \int_{u_2=0}^3 \dots \int_{u_{F-1}=0}^F \dots \\
 & p_{i_0}(s - u_1 - 2u_2 \dots - (F-1)u_{F-1}) p_{i_1}(u_1 + \dots + u_{F-1}) \dots p_{i_{F-1}}(u_{F-1}) \\
 & \left( \prod_{\substack{i=0 \\ i \neq i_{F-1}, \dots, i \neq i_0}}^{N-1} \int_0^{u_{F-1}} p_i(z) dz \right) du_1 \dots du_{F-1}
 \end{aligned} \tag{7}$$

with

$$p_i(x) = \frac{1}{\delta^i} \frac{1 - \delta^N}{1 - \delta} \exp\left(-\frac{x}{\delta^i} \frac{1 - \delta^N}{1 - \delta}\right) \tag{8}$$

10

We then have, in accordance with relation (2):

$$P(G,N,F,\delta) = \int_0^\infty Q(\sqrt{2Gs}) p_{G,N,F,\delta}(s) ds \tag{9}$$

We then obtain  $G(P,N,F,\delta)$ , for example in the manner described hereinabove, so as to then calculate the gain values  $G_{2,1}$ .

Figure 2 provides an example of a net of charts representative of the evolution of the gain  $G_{2,1}$  between the two strategies (selection of the paths of greatest energy on average and selection of the paths of largest instantaneous energy) as a function of the profile dispersion criterion  $\delta$  for values of the parameters  $F = 4$ ,  $P = 10\%$  and  $N$  taking integer values between 4 and 8. The values corresponding to these charts are

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stored, for example in the form of tables, in a memory 14.

This memory module cooperates with the module 4 which  
5 tells it the value of the parameter N (number of paths  
observed on the profile) as well as with the module 9  
which tells it the values of the parameters P (desired  
level of quality, expressed as an error probability  
level) and F (number of fingers available) for each  
10 user.

The module 4 moreover provides a dispersion measurement  
module 12 with the energies  $E_i$  on the reception paths  
selected, estimated in accordance with the pilot  
15 channel of code  $c^p$  and/or in accordance with the known  
pilot symbols inserted into the signal transmitted, as  
well as the number N of paths observed on the profile.

In the preferred embodiment of the invention, the  
20 module 12 estimates the dispersion parameter  $\delta$ , for  
example in the least squares sense, this requiring a  
search for the roots of a polynomial in  $\delta$ :

$$\hat{\delta} = \underset{\delta}{\operatorname{Argmin}} \sum_{i=0}^{N-1} \left( \frac{E_i}{E_0} - \delta^i \right)^2 \quad (10)$$

More simply, the estimate  $\hat{\delta}$  can be obtained by  
25 calculating the arithmetic mean of the ratios between  
energies of consecutive paths according to the  
relation:

$$\hat{\delta} = \frac{1}{N-1} \sum_{i=1}^{N-1} \frac{E_{i-1}}{E_i} \quad (11)$$

This estimate is provided to a module 8 which  
30 determines a criterion for selecting one or the other  
strategy for selecting the paths. In a preferred  
embodiment of the invention, the module 8 performs a  
comparison of the estimate  $\hat{\delta}$  with a threshold provided  
by the module 14. This threshold reflects a compromise  
35 between the performance offered by one or the other of

the two strategies (measured by the gain  $G_{2,1}$ ) and the complexity of the processing operations engendered by each of the strategies. In the example illustrated, the second strategy provides better performance, but at the price of greater complexity. Each chart of Figure 2 illustrates a correspondence between the gain  $G_{2,1}$  and the dispersion factor. The curves are increasing, this being explained by considering that as the dispersion decreases, the gain between the two strategies also decreases. Therefore, using a more complex strategy for a large dispersion affords only a slight gain relative to the use of a strategy that admittedly performs slightly less well, but is also much less expensive in software and hardware resources. To a chosen value of gain  $\bar{G}_{2,1}$  there thus corresponds a dispersion threshold value  $\delta_{\text{thresh}}$ , under given conditions  $N$ ,  $F$  and  $P$ . This value of gain  $\bar{G}_{2,1}$  may for example be transmitted by the control module 9 to the memory 14 so as to be consigned thereto.

In the example illustrated, the criterion applied by the module 8 consists in the estimate  $\hat{\delta}$  being greater than or equal to the threshold  $\delta_{\text{thresh}}$ . If such is the case, the second strategy will be favoured, requiring the implementation of more complex processing operations which are however then justified in view of the resulting improvement in performance.

The module 8 provides the data of the choice to be made between the two strategies to the control module 9 which, in return, applies the choice made by selecting the module 5 for implementing the corresponding strategy for selecting the paths.